

Guiding principles are the combination of attitudes and beliefs that help individuals navigate through the realities of everyday life. Guiding principles are helpful when making decisions in difficult matters, and in strengthening relationships as individuals face life's challenges.

Life Planning Program

Your Guiding Principles

Understanding the underlying principles and beliefs that relate to your life and your attitude toward money is a critical component for building a financial life planning program. It is these principles and values that shape your thinking and form the way you approach financial situations. Your responses to the questions below will help as we work together to make financial decisions that best align with these guiding principles.

Investing principles

1. What guiding principles do you follow when making investment decisions?

2. What investments do you avoid as a matter of principle?

General principles

1. What guiding principles do you follow in your personal life?

2. What guiding principles do you follow in your business and/or career?

Working with a financial advisor

1. What do you expect from a financial advisor?

2. Describe some of the experiences (both good and bad) that you have had with other financial advisors.

3. How much contact do you like to have with your financial advisor?
